

1 accustomed to do that.

2 I am hopeful that perhaps including both
3 of those, refractive to all currently accepted
4 therapy and ineligible for cardiac transplantation
5 may, in fact, help us to get to the severity of
6 illness here, but I agree it is a major challenge.

7 DR. KONSTAM: Do you think that will do it
8 or do you think you would want to be a little bit
9 more rigorous at defining what that means?

10 DR. STEVENSON: It is exceedingly
11 difficult to be more rigorous. I think judging
12 from our experience with cardiac transplantation,
13 we can certainly put in some of the constraints
14 that we put in for transplantation, we can think
15 about that.

16 If we look at the indications for entry
17 into this trial, Class IV, EF under 25 percent,
18 peak VO_2 less than 12, we all know plenty of
19 patients like that who, in fact, would not have a
20 75 percent, two-year mortality. So, I think those
21 may be helpful, but they are not adequate yet to
22 get the severity.

23 DR. KONSTAM: I don't think we are going
24 to wordsmith it now, but certainly there are
25 guidelines for indication for transplant, and I

1 just leave it with the comment now that I think
2 that if we are going to approve this, I think it
3 will, in my mind, require some work to define, to
4 make sure that patients who don't have, based on
5 what we see here, an extremely limited life
6 expectancy, don't get this device. Let me just say
7 that, and say we are going to need to work on that.

8 The other side of it is a more societal
9 question of putting it in people with comorbidities
10 or advanced stage, and that can be more difficult
11 to deal with.

12 Let me just ask, because it is sort of
13 interesting, one of the entry criteria here was
14 comorbidities. I mean that was a permissive entry
15 criteria. You had to not be a candidate for
16 transplant. That is one of the ways you could not
17 be a candidate for transplant.

18 So, it certainly didn't exclude patients
19 with, quote "comorbidities," but do you want to
20 comment about what type of patients and what type
21 of comorbidities you would not want to see
22 receiving this device clinically?

23 DR. STEVENSON: At this point, I don't
24 think I want to list them for the record. I think
25 we all agree that there are a number of organ

1 system degrees of failure that we would not want to
2 see. For instance, part of the success of the
3 bridge to transplant program has been, in fact,
4 that people have to be eligible for transplant to
5 get into the bridge, and I think that some of those
6 criteria, for instance, in this one, you couldn't
7 have a creatinine over 3.5 to get into this trial.

8 I think that we will end up with some
9 organ system function parameters, and I would, in
10 fact, propose that hepatic function be a very
11 important factor in here, but I don't want to
12 actually suggest specifics at this point.

13 DR. KONSTAM: Who is going to do that,
14 though, do you think that is something the panel is
15 going to be able to do?

16 DR. STEVENSON: I think this is going to
17 be a work in progress along with the patient
18 manual, will be how indications should be
19 specified, and I think it is impossible to divorce
20 it from the people who, in fact, will be evaluating
21 these patients, and I think that those should be
22 people with expertise in evaluating end-stage heart
23 failure. I don't think there is any way we can
24 write something out here that we could give to
25 someone in the community and have them make this

1 decision.

2 DR. KONSTAM: Okay. The other big concern
3 I have is who is going to be putting these in. Let
4 me just preface it by stating the obvious, that the
5 results that you have here with--I guess I can't
6 get away from it--a less than anticipated survival
7 rate in the treatment group, nevertheless were
8 achieved with extremely expert investigators, all
9 of whom I guess had experience with this device as
10 a bridge, I assume, so maybe I could just open that
11 to your comments.

12 How do we assure ourselves that we are
13 going to have this device used by clinicians who
14 are capable of achieving at least this same degree
15 of success with it?

16 DR. ROSE: I think the best way to assure
17 that that is happening is with postmarketing
18 surveillance, to know, we can all want that to
19 happen, but I don't see how you can know that
20 without doing it.

21 DR. KONSTAM: You wouldn't propose some
22 kind of a certification process, a training
23 program?

24 DR. ROSE: Absolutely. Aside from that,
25 even for bridging, the use of these devices

1 requires mandatory training for centers, and even
2 retraining for centers in the use of this device,
3 and all of them now I would expect are going to
4 participate in the ISHLT registry of devices, as
5 well, which is the community's attempt to--

6 DR. KONSTAM: Not to take too much time on
7 this, because I think this is a concern to me, and
8 may be to other people on the panel, could you just
9 expand a little bit, what might be the nature of a
10 training program that people might have?

11 DR. ROSE: It is generally two to three
12 days of going to an expert center where a device
13 will be implanted in an animal typically, or two
14 animals, as well as didactics. We teach several of
15 these courses at Columbia, and there is a
16 curriculum, a syllabus, indications, process of
17 management to the patients, and the ability to
18 participate in an animal implant with experts in
19 device insertion is all part of the course.

20 Frankly, after the course, there is often
21 a lot of dialogue, as well.

22 DR. KONSTAM: What about patient
23 experience, what about actually assisting or
24 participating in actual patient operations?

25 DR. ROSE: Why don't you speak to that for

1 the bridging.

2 MR. POIRIER: In the bridging program, we
3 made the determination right upfront that no one
4 would be allowed to use this device without going
5 through a formal training session. The training
6 session consists of two parts.

7 One is that we have a training center, and
8 Columbia is one, where the physician and his team
9 go to Columbia for two to three days, they implant
10 the device in two different animals, they go
11 through all of the issues in terms of patient
12 management, patient care, patient selection, all of
13 those things.

14 In addition to that, the company sends to
15 the specific hospital that wants to use this, a
16 team to train the nurses and the people who will
17 take care of these patients, go through the
18 operation of all of the systems with the
19 engineering people on site, so that everyone is
20 thoroughly trained.

21 There are manuals, operating manuals,
22 patient manuals, a whole variety of different
23 manuals that are used in this training. So, nobody
24 will touch this device until we are convinced that
25 they are adequately trained.

1 DR. KONSTAM: And in terms of other
2 elements at the site, you know, in terms of the
3 heart failure care or the ability to select
4 patients, any thought about that? Should it be
5 limited to certain sites or only certain surgeons?

6 MR. POIRIER: One of the advantages that
7 we have is this is a close-knit community, everyone
8 talks to each other. If there are any questions,
9 people call each other and discuss it, and we have
10 a whole network of people willing to do that.

11 If there are any issues on patient
12 selection, there are many people who will discuss
13 that. I think the physicians here will back that
14 up.

15 DR. KONSTAM: Thanks.

16 DR. PINA: But I think you have to extend
17 that a little bit differently, because up to now
18 you are bridging classes, which I am familiar with,
19 have been for transplant centers because that has
20 been the approval, and now if a community hospital
21 wants to put this device in, if it gets approval,
22 they are going to be able to do it.

23 My worry goes even before the surgical
24 expertise of the people that are putting it in, it
25 is the people who are treating the heart failure,

1 and what we don't want to see in the heart failure
2 community--and I am sure Lynne would echo this--is
3 people being inappropriately treated for heart
4 failure, and not being offered the therapy that
5 sometimes is tough to do, but if you are persistent
6 about it, you can get people on therapy, for
7 example, beta blocker use.

8 It may be simpler to say, well, look, we
9 now have this device approved. So, I think that
10 Lynne's point about somebody not being a transplant
11 candidate means that they must have gone through
12 some process of being looked at as a transplant
13 candidate, for whatever the reason, comorbidity,
14 age or whatever.

15 That is my bigger concern even before,
16 because I think you can train a good surgeon to do
17 this and gather experience, but I want to go one
18 step before this.

19 MR. POIRIER: We agree with that, we agree
20 with Lynne. Don't think that there is going to be
21 an avalanche of implants tomorrow. That won't
22 happen.

23 DR. PINA: But that is something that has
24 got into your training thinking beyond what you
25 have done now.

1 MR. POIRIER: Yes, of course.

2 DR. PINA: I know your programs right now,
3 and they are terrific.

4 MR. POIRIER: I mean as a company, we are
5 concerned with that more than you are, because the
6 results will be detrimental, and that will hurt us.
7 So, obviously, we don't want that to happen. We
8 will be very careful on how we let this out, and we
9 will be very careful who gets it, and we will make
10 sure that the people who are being evaluated are
11 being evaluated properly.

12 We have a long track record of that. We
13 have not been careless. I have been involved with
14 this for 35 years.

15 DR. LASKEY: We are not impugning your
16 integrity either, but the nature of the marketplace
17 is also a wild animal at times. That is our
18 concern, it is always our concern with these
19 devices. It is not all up to you always.

20 DR. LONG: Clearly, responsible
21 dissemination is utterly essential. We would agree
22 with controlling that and making sure that there is
23 excellence involved in this, especially until such
24 time as it is appropriate to expand the volumes
25 with adequate experience.

1 I would like to add one other comment
2 about the patient populations that this is
3 appropriate for. While we agree that this is a
4 very high-risk patient population that should be
5 receiving these devices, it would be unfortunate to
6 constrain this field to serve up only patients that
7 are very high-risk patients and patients who bring
8 a burden, not because of the device, but because of
9 their comorbidities to the process, so that we
10 don't have the opportunity to improve the outcomes
11 with these patients based on that particular
12 feature.

13 DR. LASKEY: Nevertheless, we need to
14 evaluate what we have in front of us. I understand
15 you, and it would be wonderful if we had a
16 distillation of what the gatekeepers went through,
17 but we don't have that, and that is what we need,
18 and I think that is what many of us are concerned
19 about, is that that thought process has not been
20 codified, it has not been translated into scalable
21 covariates.

22 We have no idea who these patients are
23 except for the fact that there was a 7 to 1 ratio
24 between looking t them and putting them into this
25 protocol, and that is not necessarily a

1 generalizable study result.

2 DR. COMEROTA: I would just echo Marvin's
3 concern about indications, and I think inclusion
4 criteria are one element that probably will be
5 easier to identify than exclusion criteria, and I
6 think it is fair to say that this panel would be
7 very uncomfortable with defining that, and that is
8 something that definitions need to be made and then
9 brought to this panel.

10 I think many of those issues have been
11 already enumerated, and some of them being
12 societal, age, and are there going to be cutoffs,
13 as well as other comorbidities.

14 I will just leave that as a comment.

15 DR. NISSEN: I must tell you that I am
16 terribly disappointed in your inability to provide
17 mean time to failure data. Let me tell you why.
18 We have a device here that if I read Dr. Swain's
19 review, failed in 20 of the 68 patients with an
20 internal failure, not an external component, but an
21 internal component, that is a 30 percent failure
22 rate.

23 Now, for us to counsel patients about
24 whether they ought to undertake such an operation
25 without being able to say to them, look, if we put

1 this device in you, it has a mean time to failure
2 of 12 months, and you didn't know that within an
3 average of 12 months, you are likely to require
4 replacement of the device, we have to know that.

5 The in-vitro testing data doesn't tell us
6 that. Only the in-vivo data tells us that. So, I
7 think that we must know how long we can expect this
8 device to function for in order for patients to
9 make an educated decision about whether they want
10 to undergo an implant.

11 I am told the FDA is not interested in
12 such data, I don't know if that is true or not
13 true, but I am certainly interested in knowing how
14 reliable is the device in a clinical in-vivo
15 setting.

16 Can anybody give me any insight into that?
17 I would certainly appreciate it.

18 DR. ROSE: I think to argue that there is
19 no insight from this based on the survival data is
20 just not correct. I think that patients, while
21 they may be interested in failure rates and
22 detailed failure rates, I think that most patients
23 want to know even more how long can I expect to
24 live.

25 That, unquestionably, I do believe we have

1 very firm data about, firm enough that I think it
2 is reasonable for a physician to make a
3 recommendation or to advise a patient as to whether
4 or not it should be considered.

5 Without the approval of this body, those
6 choices can't be made out in the public, and I
7 think it is time based on this data set, that those
8 choices be available to patients. The additional
9 data, I think is desirable from the point of view
10 of helping elucidate these issues, but from the
11 point of there being critical to making a decision
12 or not, as to whether or not this belongs out there
13 for patients to benefit from is a separate
14 question.

15 DR. ZUCKERMAN: Dr. Laskey, can I just
16 provide a point of agency clarification on this
17 reliability issue? I think the clinical question
18 posed by Dr. Nissen is an extremely important one,
19 and while the sponsor may have gotten the
20 impression in the past that certain reliability
21 calculation wasn't called for, et cetera, I don't
22 think that that would be our current position.

23 In fact, I am going to ask Dr. Berman to
24 better explain what we were trying to convey to the
25 sponsor. It is also the reason why we do have

1 panel discussions like this one, just to better
2 clarify what are the pertinent issues to, one, look
3 at the device, and two, better capture information
4 in our labeling.

5 DR. BERMAN: There may be a
6 misapprehension of a misunderstanding. We would
7 not accept reliability data from an animal study to
8 demonstrate long-term reliability for a device.
9 That is, typically, in the past, people have done
10 eight cows for three months, and that does not
11 demonstrate long-term device reliability in
12 patients, it just doesn't, and we don't like that,
13 and we tell people we won't accept that. We do
14 want to see bench testing, there is no question.

15 We will certainly look at reliability
16 data, data of rates of occurrence of different
17 kinds of device malfunctions rate, time to
18 occurrence of different types of malfunctions, and
19 so on, as observed in a clinical trial. We will
20 not accept that as the only data. We do want to
21 see formalized bench testing.

22 Mr. Poirier is quite correct. Patient
23 data is somewhat uncontrolled. You don't know
24 under what conditions the device had a problem.
25 There were at least one or two instances in the

1 REMATCH trial in which there was what appeared to
2 be a device problem, but which we, in discussion
3 with the sponsor, agreed was not, it was a patient
4 problem. The device is not responsible for what the
5 patient misuses.

6 So, to correct the misapprehension, yes,
7 we want to know what happens, what is observed
8 during the clinical trial, no, it is not by itself
9 entirely sufficient, but it is very important, as
10 in this case, to look at bench testing and how the
11 clinical trial proves out that the bench testing
12 was adequate or perhaps not completely adequate.

13 DR. NISSEN: One other question. I will
14 ask the sponsor to comment on that. The other
15 relates to the fact that the most common reason for
16 not being eligible for transplantation is being
17 over the age of 65. You suggested that there might
18 have been some differences between how people did
19 according to age.

20 So, I would be very interested in
21 understanding whether there was a difference in the
22 over-65 category. I know it was most of the
23 patients. You suggested that the under-65 did
24 particularly well. Is the converse also true, if
25 you were over 65, did you tend to do quite poorly

1 with the device? Is that is the case, doesn't that
2 speak somewhat to labeling?

3 DR. ROSE: We had three prespecified age
4 strata in the survival analysis. One was less than
5 60, another was 60 to 69, and the other was above
6 69. In all of the age strata, there was a survival
7 benefit of the LVAD arm. Only in the 60 to 69
8 group was that benefit statistically significant,
9 but that was also the largest group, so I think it
10 was reasonably powered to answer that question.

11 The younger age stratum that I described,
12 that difference was not different compared to the
13 others. I think as we accumulate more experience,
14 that those kinds of data are going to be critical
15 to deploying this kind of device.

16 DR. AZIZ: Just a suggestion and a
17 question. Would it be reasonable to suggest that
18 initially, at least for the next year or so, that
19 the implantations only be done at centers that do
20 transplantation?

21 DR. ROSE: Excuse me?

22 DR. AZIZ: That do heart transplantation
23 rather than letting every community hospital be
24 using this device.

25 DR. ROSE: I personally think that

1 dissemination to community hospitals at this point
2 is not the way to go. I am open to argument. In
3 particular instances, there may be a strong reason
4 as to why a particular institution that doesn't do
5 transplantation ought to have this available as an
6 option, but in the early get-go, I think it is
7 probably the wrong way to go.

8 DR. AZIZ: The other thing, I know that
9 obviously, our discussion is related to this
10 particular device, but I do believe that I think
11 other devices have been used for long periods of
12 time particularly in Europe. I don't have the
13 numbers. I think the Quality of Life at least for
14 those devices, the patients have done quite well.

15 DR. PINA: One small point. We learn from
16 clinical trials whether the trials are positive,
17 negative, or neutral, and I think as you look at
18 the demographic data, which you apparently have not
19 done right now, you may be able to come up with a
20 risk profile for the patient who would be more
21 likely (a) to have sepsis, the patient would be
22 more likely to develop a CVA, looking at, say,
23 vascular disease.

24 I would be particularly interested in you
25 looking at the body mass index, which you can

1 probably calculate if you have the height and the
2 weight--

3 DR. ROSE: We have that data.

4 DR. PINA: --and get some sense of muscle
5 mass. I mean it's a very gross sense of muscle
6 mass to look at the rate of--if you don't have
7 albumin or pre-albumins--to look at the rate of
8 complications based on the muscle mass or
9 nutritional status.

10 DR. OSSORIO: I have two questions. One
11 goes to the informed consent issue, and you had
12 mentioned that these patients are so ill that it is
13 not as though they are sitting around reading
14 manuals or whatever.

15 Did you do anything particular in this
16 trial to try to ensure that the informed consent
17 was adequate? I am asking that question because I
18 am trying to think about generalizing that, and
19 thinking about perhaps unusual or special things
20 that could be done in a non-research context, but
21 that could help.

22 DR. ROSE: Oddly enough, I think one of
23 the confirmatory issues around informed consent is
24 the fact that the ratio of screened patients
25 compared to enrolled patients was so high. I don't

1 think that we pulled any punches with regard to
2 describing to patients what it is that was entailed
3 here. Clearly, a large number of patients said
4 with regard to a device, "I am not interested."

5 So, if anything, I think we bent over
6 backwards and here the issue was clinical
7 equipoise. We had the appropriate degree of
8 equipoise in our posing these issues to patients.

9 Early on, I think there were questions
10 around--I remember the first investigators'
11 committee, there was still question as to whether
12 or not this was an ethical randomization. I think
13 we came to that conclusion particularly reassured
14 when the DSMB looked at the first cut of data and
15 just said to us, "Keep working."

16 That was enormously encouraging to us, so
17 I think that we did have a reasonable degree of
18 informed consent for the trial. I think the nature
19 of informed consent though now, if the device is
20 approved, is a different issue with a lot of other
21 considerations, particularly the issue of concerns
22 around overselling the device, and also I think it
23 reasonable to have concerns around underselling it,
24 too, that patients who could benefit from it, as
25 you mentioned before, patients of color that could

1 benefit from it, that don't necessarily get it.

2 I think on both sides, we need to be
3 particularly vigilant, and that is a challenge to
4 us.

5 DR. OSSORIO: Another question, which I
6 don't know if this is exactly a fair question, this
7 is more for general information. Obviously, there
8 are a lot of real societal concerns about investing
9 tremendous amounts of resources extending to very,
10 very end of life.

11 Did you and your company have any kind of
12 an ethics discussion or particularly an ethics
13 discussion about this that helped you to decide
14 that it was a good thing to move forward with this
15 kind of a trial as opposed to some other kind?

16 DR. ROSE: I don't work for Thoratec. The
17 company I work for is Columbia University.

18 DR. OSSORIO: Right.

19 DR. ROSE: At my company, yes, we have
20 considerable discussions around the ethics of doing
21 this kind of dissemination. I think at the other
22 end of the spectrum, though, can a society as
23 successful and productive as ours, afford not to do
24 this ethically, I think is as good a question as
25 whether or not we shouldn't.

1 DR. LASKEY: Dr. DeWeese.

2 DR. DeWEESE: I have no additional
3 comments. I would hope that your group would be
4 able to provide a definition as has been asked for
5 of just who would be accepted, but with your
6 experience, and then it could be evaluated by the
7 panel, if necessary, at a future date, and carried
8 out.

9 DR. KLOCKE: I am sure you will do it. I
10 guess I would encourage you to, if you could, one
11 was saying codified, but you pointed I think
12 correctly that the LDS in the Minnesota experience
13 I understand with infection, which I have been
14 focused on, appears to be different, and certainly
15 anything you could do to codify that, to extend it
16 to a larger group of patients if that really is a
17 reasonable answer to the infection problem, it
18 would be useful to, if the technology spreads, to
19 be sure that other people don't go through the same
20 learning curve that you have been forced to go
21 through.

22 DR. LASKEY: Thank you. If there are no
23 further questions, thank you, gentlemen, very much
24 for a very persuasive and articulate presentation.

25 I am going to ask that the sponsors step

1 back from the table at this point, so that we can
2 go through the questions again.

3 **Panel Recommendations**

4 DR. BERMAN: I am going to read into the
5 record the questions we would like the panel to
6 consider as they deliberate their decision for this
7 PMA supplement.

8 1. The bench testing performed to assess
9 device reliability did not account for all observed
10 clinical conditions, in particular, higher than
11 expected pressure in the pump chamber and higher
12 than expected beat rates. Accordingly, the observed
13 times to device failure and/or device malfunction
14 seen in the clinical study are less than those
15 predicted by the reliability model. As well, there
16 is no reliable end-of-pump-life indicator. Please
17 discuss the clinical implications of the observed
18 reliability.

19 2. Are the device failure and malfunction
20 rates and their time to occurrence appropriate for
21 a device intended for use for destination therapy?

22 3. Given the Kaplan-Meier survival curves
23 and the fact that 7 device patients and 3 control
24 patients, as of February 02, had survived to 24
25 months, have enough patient data been reported to

1 demonstrate a clinically meaningful survival
2 benefit?

3 4. The New York Heart Association, the
4 Quality of Life, and the functional testing results
5 are not consistent. From these data, can we
6 determine that there is a clinically meaningful
7 improvement in functional status?

8 5. This device demonstrated an increase
9 in median survival time and showed an overall
10 difference in survival. However, this benefit
11 diminished at two years and was associated with
12 serious adverse events and hospitalizations
13 throughout the course of the study. Do the
14 benefits of this device outweigh its risks?

15 6. One aspect of the premarket evaluation
16 of a new product is the review of its labeling.
17 The labeling must indicate which patients are
18 appropriate for treatment, identify potential
19 adverse events with the use of the device, and
20 explain how the product should be used to maximize
21 benefits and minimize adverse events.

22 6(a). Please discuss the appropriateness
23 of the proposed indications for use for this
24 device, which reads:

25 "The HeartMate VE LVAS is indicated for

1 use as a bridge to transplantation in cardiac
2 transplant candidates at risk of imminent death
3 from nonreversible left ventricular failure. The
4 HeartMate VE LVAS is also indicated for use in
5 patients with end-stage left ventricular failure
6 who are ineligible for cardiac transplantation.
7 The HeartMate VE LVAS is intended for use both
8 inside and outside the hospital.

9 6(b). Does the labeling accurately inform
10 patients of the risks of the device?

11 6(c). Does the labeling adequately inform
12 patients of the expected duration of use for this
13 device?

14 6(d). Are there any other issues of
15 safety or effectiveness not adequately covered in
16 the labeling?

17 7. Based on the clinical data provided in
18 the panel pack, do you believe that additional
19 clinical follow-up or postmarket studies are
20 necessary to evaluate the long-term effects of this
21 device? If so, how long should patients be
22 followed, and what endpoints and adverse events
23 should be measured?

24 DR. LASKEY: At this, Dr. Zuckerman, would
25 you like some consensus opinion on each to these?

1 I can tick these off with the help of my
2 colleagues, so, please, feel free to correct me if
3 I am misquoting or misparaphrasing, any of you.

4 For Question No. 1, on device reliability,
5 I think we have established the fact that we would
6 like to see more data on device reliability, that
7 what we have seen to date indicates that in the
8 clinical arena, the reliability falls short of the
9 predictions made from theoretical and in-vitro
10 testing, and that we would like to see, as
11 requested by two of the panelists, the distribution
12 of the times to failure, not just the medians and
13 the means, but all the data points.

14 Your colleagues, please feel free to
15 contribute.

16 Is that helpful, Bram, am I touching on
17 the high points here?

18 DR. ZUCKERMAN: Yes.

19 DR. LASKEY: With respect to Question No.

20 3--

21 DR. DOMANSKI: Could I just ask a question
22 about that? That could also be after, that is the
23 postmarket period also or could be if we choose to
24 approve this?

25 DR. LASKEY: Yes, I am simply rehashing.

1 Mike, can you go to Question 3, data
2 analysis?

3 DR. DOMANSKI: Shouldn't we talk about 2?
4 I am not sure we really addressed 2.

5 DR. LASKEY: I am sorry.

6 Are the device failure and malfunction
7 rates and their time to occurrence appropriate--but
8 we needed to see more data up to this point, is
9 that not correct? Dr. Nissen wanted to see means
10 and medians to failure. A number of us would like
11 to see the actual distribution of all the points,
12 not just those two.

13 DR. KONSTAM: I agree, but I think we
14 could discuss No. 2 based on best case of what we
15 think we are seeing, that is, a device that lasts
16 on the average about three years in vitro and
17 appears to be somewhat shorter than that in the
18 trial. This is asking the judgment question of
19 whether--I mean that is how I interpret it--whether
20 that is an appropriate level of reliability for
21 destination advice.

22 DR. DOMANSKI: I guess the question I have
23 is if you are going to do that, again, do you feel
24 like there is not enough data in to consider this
25 application, or can that be done by the FDA staff

1 after approval?

2 DR. COMEROTA: Wouldn't it be simpler to
3 define destination? If the definition was one year
4 of additional life versus four years of additional
5 life, would the answer be clearer, and then the
6 gray area gets in the 2 1/2 to 3?

7 The real crux of the matter is what is the
8 destination.

9 DR. NISSEN: I guess what I was trying to
10 get at here is that as I understand it, the
11 patients lived an average of around 400 days, and
12 during those 400 days of life, approximately 30
13 percent of the devices had an internal failure that
14 could not be fixed without another operation.

15 That gives me some flavor for what the
16 durability of the device is in a clinical setting,
17 so I would be prepared to answer the question. I
18 think I would answer it as no, that it is not
19 reliable enough for destination therapy, it is
20 reliable enough for a bridge to transplant, but in
21 this application, my answer would be no.

22 DR. DOMANSKI: That is a fundamental
23 question about whether or not this thing is going
24 to be approved.

25 DR. LASKEY: We are overlapping with

1 voting now, so I think many of these issues will be
2 more black and white as each member gives the
3 reasons for yea or nay. So, that ultimately may be
4 the answer to many of these questions.

5 DR. DOMANSKI: Yes, but I don't know that
6 we have a consensus that you can give the FDA on
7 No. 2 right now is the point.

8 DR. LASKEY: Then, let the record reflect
9 that there is no consensus perhaps due to the
10 wording or perhaps due to the issue itself.

11 I think Question No. 3, we are all
12 uncomfortable looking at 7 versus 3, nevertheless,
13 the P-values are statistically significant. My
14 question to this question, is a clinically
15 meaningful survival benefit, can it be viewed in
16 isolation? It needs to be viewed in relationship
17 to the associated complication rate. So, yes, we
18 have demonstrated a survival benefit, but is it
19 clinically meaningful if it confers a hazard of
20 adverse events, as well?

21 Does the rest of the committee share that
22 sentiment?

23 DR. WITTES: I don't think that the first
24 clause and the second clause match, and I am having
25 trouble with this because the problem is not only

1 that there is only 7 and 3 at 242 months. It's
2 that there is a lot of censored data. So, it's not
3 as if we had all 68 patients and we knew that the
4 rate is 7 and 3. Then, we would have a good
5 estimate of two-year survival rate.

6 The problem I think--I mean there are
7 several problems--but one is that there is data in
8 the pipeline that we don't know yet, and so I would
9 like for you guys to reword that question to effect
10 that.

11 DR. LASKEY: That would indicate that not
12 enough patient data has been reported then.

13 DR. KONSTAM: Can we take a step back? I
14 think there is a core question that probably it
15 might be worthwhile to sort of have the panel
16 reflect on, that seems to me to come through as you
17 work through these.

18 It relates to reliability and it relates
19 to this Question No. 3. That is the very essence
20 of what REMATCH shows, and is the REMATCH result
21 clinically significant, yes or not, and to me it
22 all circles around whether if you have a
23 statistically significant effect and apparently
24 clinically very relevant effect at one year, but
25 for the sake of argument, let's say that we are all

1 lost at two years, because we don't have very
2 reliable data at two years, and there are concerns
3 about the reliability of the device at two years.

4 If that is what we have, is that a
5 clinically meaningful result, and before you get
6 into the adverse effects, yes or no. I think, to
7 me, from my point of view, I would love to hear the
8 panel sort of try to reach a consensus about that.

9 DR. KLOCKE: I think Marvin stated it. I
10 would have to vote no.

11 DR. DOMANSKI: Well, I don't agree with
12 that. I think a year means different things to
13 different people, and governments rise and fall in
14 a year, our grandchildren are born, you know, it
15 means different things to different people, and I
16 think putting it on the market and letting people
17 make their own decision is more to the point.

18 The fact is that the job of this panel
19 isn't to make major societal decisions about
20 resources are allocated. Our job here is limited to
21 saying is it safe and effective, and, you know, I
22 think the thing is safe and effective to extend
23 life by one year.

24 DR. KONSTAM: Since I posed the question,
25 I guess I will weigh in. I happen to agree with

1 Mike. I think that there are major societal
2 questions that are hit upon by this application and
3 I have to keep reminding myself that those
4 questions are not before us, that the questions
5 before us, and I think Mike sort of stated it, is
6 this device safe and effective, and if you accept
7 the core finding of the study--I mean one can
8 challenge it, I mean I heard some challenges about
9 whether there could be some bias introduced because
10 some patients had the device and maybe were not
11 DNR, and this sort of thing, that might be worth
12 asking--but if one accepts the basic core finding
13 that there is a highly significant prolongation of
14 life even though it may well disappear at two
15 years, I guess I cannot make a value judgment that
16 that is not something we should offer to the
17 patient.

18 DR. KLOCKE: I would understand and would
19 agree with that, and could imagine circumstances in
20 individual cases where someone has a daughter who
21 is getting married in four months and wants--I mean
22 I would certainly do that. On the other hand, I
23 think, Marvin, at least for me, it depends on the
24 meaning of the term "clinically significant," and I
25 think that actually, I find it difficult, although

1 I understand the survival data, it seems to me that
2 the data we have indicate that the window may well
3 be closing, although you don't know that until the
4 data are in, but I also have to judge that in terms
5 of the full complement of the device, the
6 prolongation of life at the expense of increased
7 adverse events, which I think really is correct,
8 and so it's a judgment business, which I personally
9 have no problem that reasonable people would
10 differ.

11 But I don't think in this circumstance, if
12 I were dealing with one patient, I am the advocate
13 for that patient, and I certainly would do
14 everything I could, but I think "clinically
15 significant," and I don't mean to consider it in a
16 societal text or anything else, in the overall best
17 medical judgment case, separate from society,
18 separate from cost, there may be a clinically
19 significant benefit, but I am not convinced at this
20 point.

21 DR. NISSEN: I would like to weigh in on
22 this one, too. Let me say that, first of all, I
23 really do think this was a valiant effort on the
24 part of everybody involved to try to make this
25 work, but I don't think it worked very well, and I

1 don't think it was a clinically meaningful
2 enhancement to survival.

3 I want to point out to the committee
4 several things that we have heard today. Thirty
5 percent of the patients that got the LVAD never
6 made it out of the hospital, 27 percent had a
7 serious neurological event, 31 percent had sepsis.
8 Overall, 64 of the 68 patients had a serious
9 adverse event.

10 So, if you said, well, we can extend your
11 life by a year and we can avoid really large
12 numbers of major morbidity and mortality, and we
13 can improve your quality of life, then, I think it
14 would be meaningful, but the Quality of Life data
15 is very inconsistent as we have all talked about.

16 There is not really any solid evidence
17 that that was the case, and I think the fact that
18 so many people didn't get out of the hospital, so
19 many devices failed during the course of the study,
20 means that it was a good idea, but the device is
21 not good enough to yet turn this thing loose on a
22 population of people who undoubtedly are likely to
23 be not as good at using it as the investigators in
24 this trial.

25 So, I think that if we are going to let

1 the genie out of the bottle, let the genie out of
2 the bottle for a device that really works well, and
3 I don't think this device worked well.

4 DR. COMEROTA: I guess I need to make a
5 comment. I don't necessarily agree with you,
6 Steve, because if you take it on face value, these
7 are exceedingly ill patients, there will be an
8 operative mortality from a large operation, that we
9 need to accept, and I think most of us probably do.

10 The bottom line is if we are focused at
11 two years and beyond, I think there is discomfort,
12 but the discomfort should be lessening with the
13 updated data that we are presented, obviously, not
14 quite statistically significant, but more
15 convincing.

16 The bottom line is at one year, there is a
17 significant increase in survival, and there is no
18 device failure at one year, which we can accept.
19 There must be improvement at the device level, and
20 there will be. I thin the quality of life does
21 parallel the findings in the improvement in the New
22 York Heart Association functional class although we
23 have to accept the possibility and the probability
24 of bias, but they are parallel.

25 With that said, and a significance at one

1 year an device failure at one year, can we justify
2 not approving it with the definition of the
3 indication thrown in.

4 DR. LASKEY: I am not sure we can approve
5 this device only for one year, though.

6 DR. DOMANSKI: Actually, it is not a
7 matter of approving it for only one year. I mean
8 one doesn't usually put into an approval the
9 survival data of any of the devices we put out.

10 DR. DeWEESE: I think that we have good
11 evidence that it does increase survival rate albeit
12 it maybe only at one year at this time, but I think
13 that there is going to be improvement in this
14 device. I think this is something that we are
15 going to have eventually, and I think this group
16 that has presented this and the group that worked
17 with them, should continue to do it, and I would
18 hope we would support them to do this and make the
19 advances that are necessary to make it a little
20 better maybe.

21 DR. LASKEY: Maybe we could get just a
22 little bit of help since we have really answered
23 Question 4, as well, here, but Dr. Zuckerman, you
24 might try and frame for us where the FDA is going
25 with respect to changing definitions of survival

1 benefit.

2 This is clearly a very different relative
3 risk reduction or reduced hazard ratio than we are
4 used to thinking about, and the general rule of
5 thumb has always been the sicker the patient,
6 the more dramatic you want to see the relative
7 risk, or it is always the sickest who "benefit the
8 most."

9 What is that the Agency has in mind with
10 respect to a meaningful survival benefit if 25
11 percent is not enough or 33 percent?

12 DR. ZUCKERMAN: Can we go back to question
13 3, Dr. Berman.

14 When we look at our definition of
15 "reasonable assurance of safety and effectiveness,"
16 we need to be able to demonstrate clinical utility.
17 As indicated in the opening presentations, clinical
18 utility for this type of device in this type of
19 population hasn't been previously perhaps well
20 defined.

21 Consequently, we are looking for some
22 panel consensus, if possible, as to what a
23 clinically meaningful survival benefit might be -
24 is it what we see at one year, is it a difference
25 in the median survivals for the two-patient

1 populations, even though the survival curves might
2 come very close together at two years?

3 We don't have a priori a predefined
4 definition, and we are looking for some help here.
5 The fact that this is a gray area isn't surprising,
6 but maybe you can poll panel members again to see
7 if there is some more of a consensus.

8 DR. LASKEY: I will poll them once again.
9 I would like to also suggest one more index of
10 survival benefit, which is how much longer the
11 patient is going to live, how many more days or
12 months of life can one expect with this treatment,
13 and I think that needs to sometimes be added to
14 this clinically meaningful survival benefit in
15 addition to a P-value. I think if we knew how many
16 more days a patient had, that would answer the
17 question that I think Dr. Rose posed to us, which
18 was how much longer do I have.

19 DR. KONSTAM: Let me just give my
20 reflection on this. I come at it the other way,
21 which is that I see a dramatic statistical effect,
22 a large number for risk reduction, a substantial
23 augmentation in median survival, and a very low
24 p-value.

25 So, the effect is pretty dramatic in my

1 mind. Again, to me, I compartmentalize the issue
2 of adverse events, so I think Steve's points are
3 very cogent and need to be addressed by the panel,
4 but I think maybe separately.

5 To me, it is I think logically useful to
6 first just go through the exercise of whether you
7 think that there is a clinically meaningful effect
8 on survival, yes or no, and to me I think there is
9 a very dramatic effect on survival, and the
10 question is do we feel that that is negated by the
11 fact that we don't see it at two years.

12 This is to me the way I frame the question
13 for myself, and I come away saying who am I to say
14 that those findings are not important just because
15 I don't see it in two years. You know, I am not
16 the patient really being potentially presented with
17 that choice.

18 I guess in terms of the survival effect, I
19 am impressed with it, and I can't talk myself out
20 of that.

21 DR. LASKEY: I am not sure we are any
22 closer to a consensus. We keep going around and
23 around. I must say as a clinician, I find it hard
24 to divorce survival from the quality that goes
25 along with that survival, and when there are so

1 many infections and strokes and re-ops.

2 It just can't be viewed in isolation.

3 Statistically, it can, but clinically, we take care
4 of the whole organism.

5 Janet.

6 DR. WITTES: I would look at it as at one
7 year, I am coming in, trying to make a decision
8 about whether to have this implant or not, then,
9 the relevant data it seems to me, if I don't have
10 it, then, my chance of being alive in a year is a
11 quarter, and if I do have it, my chance of being
12 alive in a year is a half, and that to me seems
13 like a big difference.

14 I don't care about two years, I am talking
15 about disease where my imminent death is--so, it
16 seems to me that then what I personally would
17 weigh, given those data, and I am comfortable with
18 those data, the p-value for me tells me that those
19 data are pretty robust, I am comfortable with those
20 numbers.

21 So, then I would play into am I willing to
22 take all these other risks to give me this benefit
23 of mortality. My personal feeling, and I think as a
24 panel member, that we shouldn't make that decision
25 for other people, that I would say yes, it

1 demonstrates a clinically meaningful survival
2 benefit.

3 People may not choose it, but I would say
4 yes.

5 DR. DeWEESE: When I first read this, I
6 thought that the persons who had had a number of
7 adverse events, it would discourage them and make
8 them feel they should not have done what they did,
9 and then I find that an equal number of people who
10 were controls and had the procedure said that they
11 wanted to withdraw.

12 I would have thought there would have been
13 a much higher withdrawal rate from those who had
14 the procedure, had it, when they are looking back,
15 had they been that person.

16 DR. NISSEN: One comment. I personally
17 cannot separate survival from quality of life, and
18 I will tell you why. Let's just take, for
19 instance, for a moment, that you had a therapy that
20 could prolong survival by one year, but all the
21 patients were in a vegetative state during that
22 period of time.

23 Would you call that a clinically
24 meaningful survival advantage?

25 DR. WITTES: No.

1 DR. NISSEN: Just so we all are on the
2 same page here, I think it is one thing to say
3 there is a statistical effect on survival, and the
4 other is to say there is a clinically meaningful
5 effect, and I think the word "clinically
6 meaningful" to me implies that there is some
7 quality of life.

8 DR. DOMANSKI: What little data we have on
9 Quality of Life, and, you know, I don't think much
10 of the Quality of Life data in this trial, not
11 because of any fault of the investigators, but
12 because of just the nature of the study, but what
13 little we have suggests, in fact, that although not
14 absolutely consistently across things, it has
15 improved.

16 Much of the chemotherapy we give is little
17 more than chemical last rites in a setting where
18 the patients know they are not going to get much
19 benefit from it, so people do choose it.

20 Here, they have an opportunity to choose
21 some benefit.

22 DR. KNAPKA: Again, talking from a patient
23 that was in this condition, given like 30 days to
24 live, and this sort of thing, I don't think a panel
25 can make a decision whether one year or two years

1 is significant. There is just no way anybody can
2 make this decision unless you have been there.

3 I think we probably need, if we feel very
4 confident that this device will give the majority
5 of people, and we realize that the tests we are
6 looking at, these are real sick people, kind of a
7 last resort.

8 I think this is one of the problems.
9 There is a lot of these new devices and new
10 chemicals that it is usually used on patients as a
11 last resort, but I think we are arguing whether a
12 year or two years is a significant amount of life,
13 we will never come to that decision. It ha got to
14 be a patient's decision, and I can't make that for
15 anyone.

16 DR. OSSORIO: I just want to weigh in on
17 the side that says clinically meaningful survival
18 is something more than just statistically
19 lengthened life. I want to weigh in on that side
20 because I think that once you do, things begin to
21 unravel here a bit, which is unfortunate.

22 DR. KONSTAM: I just want to clarify what
23 I said earlier. I guess I was segmenting the
24 issues, and to me I think it is worthwhile
25 segmenting the issues. I took Question No 3 really

1 just to address the one-year versus two-year issue,
2 and really that is what I spoke to.

3 I certainly concur with everybody else,
4 but I do think it is worth getting past that and
5 just looking at that issue in isolation if for no
6 other reason for its logical value.

7 I certainly, however, would not stop
8 there. I certainly concur that if we were
9 extending life, but people were in a vegetative
10 state, I would say now, okay, the numbers are okay,
11 but forget it. So, I certainly agree with that.

12 So, the next question, I think the next
13 logical question is okay, life is extended if you
14 accept that, and is it meaningful. Well, I have
15 trouble saying that we have not extended meaningful
16 life here. If you look at six months, for example,
17 in the LVAD group, you have 8 patients who are
18 classified as New York Heart Association Class I,
19 and 19 patients classified as Class II.

20 The corresponding numbers in the medical
21 management group is zero and 2 patients. Now, I
22 agree that this statistical analysis of the Quality
23 of Life comparison is extremely problematic, but to
24 me, comparing the Quality of Life in the two groups
25 is much more important if you have most of the

1 patients alive.

2 If you have one limb that is 75 percent
3 dead after one year, to me the question changes.
4 The question becomes is the clear extension of life
5 very problematic because very, very few of the
6 patients are having meaningful life, and a best I
7 can read into this, not having the patients in
8 front of us, I see significant, clinically
9 significant, in my mind, numbers of patients who
10 are doing pretty well.

11 This really gets back to the comments that
12 were made earlier, who are we to say it is not
13 appropriate to offer that to patients. So, I agree
14 it is a several-step process, and I wasn't up to
15 that step yet, but I don't think you can look at
16 this and say well, we are keeping everybody alive,
17 but they are vegetative. There seem to be people
18 who are doing pretty well here.

19 DR. LASKEY: I am sure Steve used that
20 more for hyperbole than for reality, but it
21 certainly makes the point, and I think certainly
22 what we are all grappling with is we can easily
23 deal with the easiest thing to do here is to
24 interpret the p-value, as Janet has said, and say
25 that there is a significant effect demonstrated

1 here.

2 However, we are clinicians, and I think
3 that there is this nagging feeling that many of us
4 can't get past when a survival benefit is
5 associated with risks of bleeding or risks of
6 sepsis or risks of stroke, and so on, and so forth,
7 which is identical to the end of life chemotherapy
8 issue, as well, and I am not sure there is a
9 meaningful answer to that one either.

10 I am not sure that we can give you an
11 answer to your question yes or no. I think you
12 have heard the deliberations and the really
13 assiduous thought process that we have put into
14 this. This is a different category of patient,
15 this is a different proposal here.

16 DR. WITTES: Several of you have said that
17 I responded to the p-value, and I want to make it
18 clear what I was responding to. I was responding
19 to the difference in the magnitude of survival at
20 one year, the 50 percent versus 25 percent, which I
21 was interpreting.

22 You know, you may not agree with the
23 interpretation as an important difference. What I
24 said about the p-value was that the p-value made me
25 believe that that difference was likely to be real,

1 but I was not reacting to, and I think it is
2 important for you guys to know statisticians tend
3 not to react to the p-value by itself, it is the
4 magnitude of the difference.

5 DR. ZUCKERMAN: The fact that there isn't
6 one clear-cut answer is okay. This is a difficult
7 question that FDA has struggled with. Would it be
8 fair to summarize, though, that panel members come
9 to this question with different prior beliefs, and
10 there is a range of answers as to whether or not a
11 clinically meaningful difference has occurred at
12 one year and throughout the course of the study?

13 DR. DOMANSKI: And you are going to get a
14 quantitative estimate of that balance when they
15 vote yes or no in terms of approval.

16 DR. ZUCKERMAN: Right. It just underlines
17 the need therefore for a control in this study to
18 better assess that risk-benefit profile.

19 DR. LASKEY: I think we really have beat
20 up Question 4.

21 DR. ZUCKERMAN: Before going on to
22 Question 5, though, can you just give us a quick
23 summary for the record?

24 DR. LASKEY: I think I can summarize the
25 panel's feeling as saying that, number one, it is

1 recognized and accepted among the heart failure
2 community that measures in NYHA, 6-minute walks, et
3 cetera, et cetera, don't always correlate. Number
4 two, they are soft endpoints. Number three, unless
5 they are blinded in their ascertainment, it is even
6 more difficult, and the potential for bias is
7 always there when you have unblinded observers
8 assessing soft endpoints.

9 I think we would all like to read into
10 this an improvement in functional status, but it is
11 hard with those caveats.

12 DR. OSSORIO: I guess for me this is the
13 crux right here. If people can't do better than
14 this, I think we are in real trouble. I people
15 should be expected to do better than this in terms
16 of measuring something about whether or not there
17 is a functional improvement or whether there is
18 some kind of decent functioning going on in
19 people's lives after they have had this
20 intervention because what patients do care about
21 is, you know, if what somebody cares about is I
22 want to see my child's wedding or my grandchild's
23 birth or whatever, if you can't recognize your
24 child or your grandchild, it doesn't really matter,
25 you know, that you are alive at that point.

1 There are ways of assessing these things.
2 They may not be in the cardiology community, they
3 may not be as widespread, but there are ways of
4 assessing these things, and I think people ought to
5 be expected to do it.

6 DR. DOMANSKI: I don't think that is an
7 entirely fair analysis, though, because, you know,
8 these people did go through the whole battery of
9 things. There are certain things that are peculiar
10 to an unblinded study where you put a device in.

11 DR. OSSORIO: I know.

12 DR. DOMANSKI: I think if you say that,
13 then, you ought to offer some indication of how you
14 think they could have done it better, because I
15 think they did what they could, but it is the
16 nature of the beast that makes it difficult to
17 assess, so I don't buy into that statement.

18 DR. NISSEN: Mike, how about just having
19 somebody who is not the operating surgeon ask the
20 question about what your functional class is. I
21 mean to me, you know, I can't think of a lower
22 standard to apply than to have the person who
23 actually did the operation asking the patient
24 whether they feel better or not. That is about as
25 bad a data as you can possibly generate.

1 DR. LASKEY: That perhaps will be
2 recommendations for further study design.

3 DR. DeWEESE: Where did we have that
4 evidence that the surgeon got that information?
5 Was that in anything we received?

6 DR. NISSEN: That is what we are told, to
7 ask the question, and that was the general gist of
8 the answer.

9 DR. DOMANSKI: Since that is raised
10 factually, is that really true, because actually, I
11 didn't know that.

12 DR. OSSORIO: That question was asked.

13 DR. DOMANSKI: I took it at face value.
14 Is that what they said? Okay.

15 Could I ask them to clarify that for us,
16 please? Could I ask them to come back to the table
17 and answer that question?

18 DR. LASKEY: The question being, so we are
19 clear for the record, how was NYHA classification
20 assessed and by whom.

21 DR. ROSE: We didn't specifically state
22 that surgical patients should not be evaluated for
23 NYHA class by the surgeon or the operating surgeon,
24 and I can't say that there are data that don't
25 reflect that. I think knowing how these clinics

1 and follow-up function, the overwhelming majority
2 of patients were assessed by the cardiologists who
3 were following them or the nurse clinicians who
4 were following them, as well.

5 So, to think that this reflects, this
6 improvement in NYHA class reflects some enormous
7 blinding on the part of the surgeons doing this at
8 some level is an insult I think to the participants
9 in the study.

10 DR. LASKEY: The panel abjectly apologizes
11 for any sense of insult. It wasn't meant to be an
12 insult, but I think that the answer is that the
13 investigators and/or their associates obtained this
14 information. We certainly didn't mean to insult
15 them.

16 DR. NISSEN: As opposed to an independent
17 third party. I mean, look, I mean you asked if
18 there could have been better methods, and the
19 answer is that it would have been extremely easy to
20 have a non-participating person do the assessment
21 of functional classification, and that would have
22 been the proper approach from a trial design point
23 of view, and that was not what was done.

24 DR. DOMANSKI: I think there are some
25 other things, though, that came in. It wasn't just

1 NYHA. NYHA class is tough, because don't forget,
2 there is a big placebo effect. I mean if you bury
3 one of these things in somebody's chest, they want
4 to feel better.

5 So, I would be worried about that, as
6 well, in terms of unblinding, but I think there
7 were also, you know, they did the SF-36, you know,
8 they did a bunch of things and stuff.

9 DR. KONSTAM: I completely agree with the
10 points that were made, but I still keep coming back
11 to the fact that the control group had so many dead
12 people in it, so the issue really changes. I mean
13 if you had 90 percent survival in both groups, you
14 would really want to know which group is doing
15 better.

16 When three-quarters of the control group
17 is dead by a year, I think the question changes.
18 The question becomes one, which is much more
19 difficult to answer, which is how is the treatment
20 group doing, and do we have a sense that they are
21 all vegetative, do we have a sense that they are
22 all hospitalized, or do we have a sense that at
23 least a sizable number of them are actually doing
24 okay, and looked at it that way, you know, I think
25 the data are adequate for me to say, you know,

1 there are sizable numbers of patients that at least
2 at six months and a year are doing okay.

3 DR. LASKEY: And that you can't be doing
4 well if you are dead.

5 DR. KONSTAM: You can't be doing well if
6 you are dead.

7 DR. LASKEY: No. 5. I think we have been
8 grappling with the denominator here, that is, the
9 benefit as it relates to Question No. 3 and the
10 data analysis and the magnitude of the clinically
11 meaningful survival benefit, that being the
12 denominator of the risk-benefit ratio.

13 Do we need more discussion about the
14 presence of risk or do we need to focus in on the
15 magnitude of this risk and how it relates to that
16 ratio?

17 We all agree that there is risk with this
18 device. Where is this ratio, is it closer to zero
19 or closer to 1, I guess is what you need to know.

20 DR. BERMAN: I think the panel has
21 discussed this question along with the others.

22 DR. LASKEY: Okay.

23 DR. PINA: Let me bring up one point that
24 hasn't been brought up, and it is part of the
25 protocol, and that is the issue of cost, which has

1 not even entered into any of the data that we have,
2 but the expense even on a surviving family member
3 may be some of the risks that have to be assumed
4 other than just the physical risk.

5 So, I think that is something we need to
6 keep in the back of our mind even though that is
7 not part of the data that we have.

8 DR. ZUCKERMAN: Again, Dr. Laskey, for
9 Question No. 5, the question is do the benefits of
10 this device outweigh its risks. Is there any
11 consensus at this point from panel members?

12 DR. LASKEY: What I am hearing at this
13 point is I don't think there is consensus, you may
14 see consensus or lack thereof with voting in
15 several minutes, but I think right now we have
16 aired the concerns about both the numerator and the
17 denominator, and their relative weight will be
18 reflected in how people vote.

19 DR. KLOCKE: I would answer that as
20 possibly, but not clear to me at this point.

21 DR. LASKEY: Labeling. I think it is fair
22 to say we have had an extensive discussion about
23 the nature of this patient population. What is
24 missing is how the investigators got there and how
25 that translates into more detailed information in

1 the IFU, I am not convinced that we have heard
2 that.

3 DR. BERMAN: Is it fair to say that the
4 panel thinks there needs to be more details or more
5 specific indications?

6
7 DR. OSSORIO: Yes.

8 DR. PINA: Yes. I think in the patient
9 booklet, where there is a whole series of warnings,
10 and I understand what Eric said, that a lot of
11 these patients are not exactly going to be reading
12 this great detail, but the relatives will be, and
13 the spouses very often do, that all the
14 complications that have been found in the study
15 need to be enumerated, so that the patient is well
16 informed and the spouse is well informed about all
17 the risks, and that is not in there.

18 DR. COMEROTA: Which includes those who
19 are indicated for the procedure, as well as those
20 who are not indicated for the procedure.

21 DR. KONSTAM: Can I just make sure that
22 the point is made and see if other people agree,
23 that based on what we see in the REMATCH study,
24 this device should only be indicated for people who
25 are severely ill and with an extremely limited life

1 expectancy.

2 Now, there were some comments on that made
3 both sides, but I think based on what we see in the
4 survival data at two years, and those of us who
5 think it is acceptable or not acceptable,
6 nevertheless, most of the patients in the device
7 group are dead at two years, and therefore, I
8 cannot imagine doing anything other than approving
9 this device for patients with an extremely limited
10 life expectancy without this device.

11 Now, how you get there is for further
12 discussion, but I think that would be the sense
13 that I would want to inject into it.

14 DR. DeWEESE: I would agree.

15 DR. LASKEY: Does the labeling accurately
16 inform patients of the risks, well, there were
17 certainly conspicuous warnings and cautions, and so
18 forth, which relate to I think mechanical
19 malfunction. I am not sure what the data are there
20 on the risks of infection and bleeding, and so
21 forth.

22 DR. PINA: It's not in there.

23 DR. OSSORIO: Along with accurately
24 informing about risk, if there are things that
25 patients can do to minimize those risks or their

1 family members and people who are helping them if
2 they happen to go home, those things ought to be in
3 there, too.

4 DR. KONSTAM: I would say that this cuts
5 to the heart. We talked earlier about patient
6 choice. If we wind up approving this, I think some
7 of us are going to say we are doing it because we
8 think there should be an option for the patient,
9 but if it's an option for the patient, here, really
10 more than any other application for anything I have
11 ever seen, we really have to take pains to inform
12 the patient of what he or she is getting himself
13 into and what kind of adverse events have been
14 observed over the two years of this study.

15 You know, here is one where I don't mind
16 scaring the patient, to tell you the truth, because
17 of the degree of uncertainty that we have and what
18 we have seen in this trial. I think a good deal
19 needs to be done with this document to make sure
20 patients adequately get a sense of that.

21 DR. PINA: I think also, in all fairness
22 to the sponsor, they probably haven't seen these
23 kinds of complications because this is a sicker
24 group than I have ever seen LVADs in, because most
25 of these patients, we would not transplant, and

1 therefore, we would have not have VADed.

2 So, I think in all fairness, this is
3 probably their first experience with this very,
4 very sick cohort where these complications were
5 arising. This isn't the usual.

6 MR. MORTON: One point of clarification I
7 would make is I have heard the panel refer to
8 letting the genie out of the bottle, and that is a
9 connotation of something that is out of control,
10 and labeling is very much a way that release of
11 this device could be controlled.

12 I know that on devices of this type, the
13 FDA and the sponsor work together to very clearly
14 define what the training program is going to be and
15 what the release program is going to be, so I would
16 like us to move away from the genie out of the
17 bottle image.

18 DR. LASKEY: Fair enough.

19 Does the labeling inform patients of the
20 expected duration of use?

21 DR. WITTES: It needs more.

22 DR. LASKEY: Very good, Janet. Needs
23 more.

24 DR. KONSTAM: I would be fairly draconian
25 about this. I think that the panel has some

1 serious, at this point, lack of information and
2 uncertainty about the duration of this device, and
3 I think that somehow again, I think the patient
4 needs to be informed of that.

5 I think the comments that's in the
6 proposed wording is simply that sometime in your
7 life, you might expect to have to have this
8 replaced. Well, I mean that sort of begs a lot of
9 the issues that we have raised like there is no
10 indicator of end of life, number one, so what is
11 the implication of that.

12 Secondly, how many patients do we have now
13 experience with, who have had successful reimplants
14 and alive? I think there is one such patient who
15 has had reimplant and are alive. Maybe there is
16 more than one. Two? Okay, two. That is a very,
17 very, very, very small experience, and to have that
18 reflected by a comment that says at some point you
19 might have to have this changed really strikes me
20 as pushing it.

21 So, that wording really has to be
22 rethought, and I think based on what we see right
23 now, it should be made very blunt that we don't
24 fully know the expected life expectancy of this,
25 and somehow these points needs to be brought out.

1 DR. LASKEY: No. 7, PMA, postmarketing. I
2 think we all would agree yes. There is a need for
3 additional clinical follow-up specifically, and
4 there is certainly a need for more appropriate risk
5 stratification for who will benefit or who won't.

6 DR. ZUCKERMAN: Can you comment on what
7 the major questions would be to answer in any sort
8 of postmarket experience?

9 DR. PINA: Let me start out by again
10 expressing my concerns about the internal device
11 malfunction and the lack of knowing when it is
12 going to malfunction, and I think the company has
13 experience in the bridging group with perhaps the
14 same problems, and all these other variations have
15 been made, like the SNAP, the different wiring
16 system, and I think we need a body of data on
17 internal pump malfunction with all the advances and
18 all the improvements that the company has made. I
19 don't think we have that, and I certainly haven't
20 seen it.

21 DR. DOMANSKI: One thing you will gain
22 with your postmarket surveillance is you will gain
23 a sense of the time course of the different types
24 of complications - patient complications, device
25 complications, and so forth, with this device, and

1 then other ones that come along.

2 So, actually, I think the postmarket
3 surveillance in this case could be quite useful,
4 particularly as the device evolves or devices like
5 it evolve.

6 DR. KONSTAM: Can I add one other thing, I
7 guess to come back to, I had raised earlier, is the
8 question of anticoagulation. I just would like to
9 see going forward some consideration of potential
10 anticoagulation regimens.

11 I don't know what that would be right now,
12 but we are seeing, to me, a higher than expected
13 number of what I consider thromboembolic events,
14 and I don't understand all the bleeds, but I think
15 that that should be addressed with some
16 postmarketing research.

17 DR. LASKEY: Certainly, first of all, with
18 collection of information to get a better idea of
19 the rate because it is entirely possible the rate
20 may be higher in real life than in the trial
21 settings. So, additional ascertainment of
22 endpoints. Okay.

23 **Open Public Hearing**

24 DR. LASKEY: I would like for the last
25 time today to open the forum for public hearing.

1 Is there anyone in the audience who wishes
2 to address the panel on this topic?

3 [No response.]

4 DR. LASKEY: If not, then, I will close
5 the public hearing and request that the sponsor
6 come forward and give us your final sentiments.

7 **Sponsor Comments**

8 MR. MIDDLEBROOK: Certainly, all aspects
9 of the study have been discussed thoroughly here
10 today, and we just want to thank all the panelists
11 for their insight and their analysis.

12 As a company, we are committed to, as Vic
13 said, continuously improve this product, and if we
14 look at our experiences from the bridge to
15 transplant, generally speaking, our results have
16 improved from the time when we did the clinical
17 trial. We would hope that that improvement would
18 be seen here as we look at this nascent therapy.

19 Again, I would like to thank the
20 panelists, the FDA, and certainly all of our
21 presenters.

22 Thank you.

23 DR. LASKEY: Thank you.

24 I would like to ask Dr. Ewing to read the
25 voting options.

Panel Voting

1
2 DR. EWING: The panel recommendation
3 options for premarket approval applications are the
4 Medical Device Amendments to the Federal Food,
5 Drug, and Cosmetic Act as amended by the Safe
6 Medical Devices Act of 1990, allows the Food and
7 Drug Administration to obtain a recommendation from
8 an expert advisory panel on designated medical
9 device premarket approval applications that are
10 filed with the Agency.

11 The PMA must stand on its own merits and
12 your recommendation must be supported by safety and
13 effectiveness data in the application or by
14 applicable publicly available information.

15 Safety is defined in the Act as,
16 "Reasonable assurance based on valid scientific
17 evidence that the probable benefits to health under
18 conditions of intended use outweigh any probable
19 risk."

20 Effectiveness is defined as, "Reasonable
21 assurance that in a significant portion of the
22 population, the use of the device for its intended
23 uses and conditions of use, when labeled, will
24 provide clinically significant results."

25 Your recommendation options for the vote

1 are as follows:

2 Approval if there are no conditions
3 attached. Approvable with
4 conditions. The panel may recommend that the PMA
5 be found approvable subject to specified
6 conditions, such as physician or patient education,
7 labeling changes, or a further analysis of existing
8 data. Prior to voting, all of the conditions
9 should be discussed by the panel.

10 The third option is not approvable. The
11 panel may recommend that the PMA is not approvable
12 if: the data do not provide a reasonable assurance
13 that the device is safe, or if a reasonable
14 assurance has not been given that the device is
15 effective under the conditions of use prescribed,
16 recommended, or suggested in the proposed labeling.

17 Following the voting, the Chair will ask
18 each panel member to present a brief statement
19 outlining the reasons for their vote.

20 DR. OSSORIO: May I ask for a point of
21 clarification?

22 DR. LASKEY: Yes.

23 DR. OSSORIO: That very last thing that
24 you just said, under the proposed labeling. Now,
25 we have all made quite a number of suggestions

1 about what we think ought to go on the labeling, so
2 when we are voting, are we voting assuming that
3 those suggestions would be incorporated, or are we
4 voting based on what we have right now in this
5 packet?

6 DR. EWING: If the panel feels that to be
7 approvable, then, the changes in labeling would be
8 necessary, then, that could be approvable with
9 conditions.

10 DR. LASKEY: It is your prerogative, Dr.
11 Konstam, to make a motion.

12 DR. KONSTAM: I move approvable with
13 conditions.

14 DR. LASKEY: And they would be?

15 DR. KONSTAM: I have a bunch.

16 DR. LASKEY: First, we need a second.

17 [Second.]

18 DR. LASKEY: You have a second, so you
19 might want to delineate the conditions.

20 DR. KONSTAM: I have a bunch of
21 conditions, and I think they have all been touched
22 upon. One is additional analysis of existing data.
23 The two that occur to me are revisiting the whole
24 question of reliability, bringing data up to date,
25 and getting a clear indication of reliability at

1 two years.

2 Secondly, that analysis that Mike Domanski
3 had suggested of time to death or stroke be looked
4 at to be certain that it is at least consistent
5 with the observation with regard to survival. So,
6 existing data would be one.

7 Secondly, the indications for the device
8 be much more extensively delineated particularly to
9 denote a population with a very limited life
10 expectancy without the device implanted.

11 Third, that there be fairly rigorous
12 criteria for implantation from the perspective of
13 both the surgeon and the facility at which it would
14 be done to be certain that both patient selection
15 and expertise in the procedure and in patient
16 follow-up meets a high level of acceptability.

17 Fourth, that there be a significant amount
18 of postmarketing work be done surveying patients in
19 whom these are implanted as least out to two years
20 with analysis of reliability and analysis of all of
21 the other adverse effects that we saw here and
22 their rates.

23 I had mentioned earlier I would include in
24 that some kind of consideration of the need for
25 anticoagulation.

1 Finally, that there a lot of work go into
2 a detailed set of information for the patients that
3 really, as best as can be accomplished, delineates
4 for the patient what the tradeoff is that he or she
5 is getting himself into with this.

6 DR. LASKEY: There are five conditions
7 then, and we need to vote on each one separately.
8 Can we have some discussion amongst the panel
9 members about Dr. Konstam's first condition, which
10 is the requirement for more data analysis from the
11 current data set?

12 DR. NISSEN: Could I understand this, does
13 that mean that it would not be approvable until the
14 data is analyzed, is that what you are saying? I
15 am not sure I know what you mean by that.

16 DR. KONSTAM: Well, I guess I would want
17 to ask the Agency what the options are in that
18 regard.

19 DR. ZUCKERMAN: You are making a
20 recommendation whereby potentially the device is
21 approvable if these conditions are met.

22 DR. DOMANSKI: But I thought that what you
23 meant was that these analyses would take place over
24 time. You know, if you don't think it's approvable
25 until they meet it, that is different than saying

1 we are going to do postmarket surveillance after.

2 DR. KONSTAM: Let's take the one that you
3 suggested, the analysis of time to death or stroke.
4 You made a compelling argument for that.

5 DR. DOMANSKI: I think that is fine. I
6 think that is an easy one for them to come in with,
7 and I think the numbers of events are such that
8 that is not going to be a land mine in the field
9 for them, but that is something they can go over
10 with FDA staff, so that is fine. They are not
11 going to have a problem with that.

12 I will just finish discussing the
13 question. I would suggest that they not be forced
14 to go out and gather new data.

15 DR. KONSTAM: What I meant with regard to
16 the reliability is more detailed analysis of
17 existing data.

18 DR. EWING: If you do not believe that
19 there is sufficient information currently here,
20 then, it would make more sense to vote not
21 approvable, if you are talking about you need the
22 results of current analysis.

23 DR. KONSTAM: At least what I had intended
24 was pretty much along the lines that Mike was
25 suggesting. I do think that the first part of that

1 is the analysis of death or stroke. I would like
2 the Agency to hear my sense that I am assuming,
3 Mike is assuming that that analysis will not
4 radically change the overall survival analysis, and
5 if it did, if it looked like all of a sudden it was
6 substantively different--and I don't know how to
7 advise you better than that--then, I would
8 reconsider my approval. I don't know how to convey
9 that.

10 DR. DOMANSKI: I have a suggestion. I
11 will tell you what I really think. I think the
12 number of events is not going to be sufficient to
13 make much difference, and I would leave that out,
14 delete that from your motion, and let the FDA just
15 look over the entire application, because this is a
16 recommendation, and not put this in as some kind of
17 a firewall. I can't imagine it would, and the FDA
18 is going to look at this thing. I just don't want
19 to see them disapproval over something like that.

20 DR. KONSTAM: I think we are saying the
21 same thing. I think the Agency has the prerogative
22 of looking at the data and say you know what, I
23 mean they can do whatever they want with it. They
24 don't have to accept our final vote, so I guess we
25 are saying the same thing.

1 DR. DOMANSKI: One way of doing this would
2 be to structure the recommendations on things that
3 need substantive change. I mean the FDA is going
4 to go over this whole application again, but there
5 really are some substantive things that they have
6 to do.

7 The panel wants different labeling, so
8 they are recommending that.

9 DR. LASKEY: He has put five
10 recommendations, five conditions on the table for
11 his recommendation. We need to either take them
12 apart or just whittle that down to one or two. Can
13 we try and do that, so we get a coherent,
14 articulate vote?

15 DR. KONSTAM: Let me then clarify. I
16 guess I would still stick to my first one, but I am
17 not basing approvability--

18 DR. LASKEY: The first one being--just so
19 we are all on the same page here--is additional
20 data analysis as pertain to device reliability and?

21 DR. KONSTAM: And analysis of time to
22 death or stroke.

23 DR. LASKEY: Time to death or stroke in
24 the current data set.

25 DR. COMEROTA: And the purpose of that is

1 not for device approval, but better judgment on the
2 basis of physicians and patients in the future upon
3 whether their decision should be to move ahead with
4 their own decisionmaking or not, more informed
5 consent on the patient's part.

6 DR. LASKEY: Yes, it is to be added to the
7 labeling, is that correct? Okay.

8 Enough discussion on that condition?
9 Shall we vote? Voting on these two conditions to
10 Dr. Konstam's motion for approval, the first
11 condition being additional data on device
12 reliability and additional data on time to death or
13 stroke.

14 DR. WITTES: Analysis.

15 DR. LASKEY: Analysis, yes, of the current
16 data set.

17 DR. OSSORIO: Can I ask for a point of
18 clarification? Could we vote, say yes on the
19 various amendments, and at the end, still vote no
20 on the motion?

21 DR. LASKEY: Yes.

22 DR. EWING: For the first condition, we
23 need to go around the room, start with Dr. Wittes,
24 please.

25 DR. WITTES: I am going to vote no. I

1 think it should be a recommendation. I don't see
2 it as a condition.

3 DR. DOMANSKI: So, this isn't framed as a
4 recommendation, is that right?

5 DR. EWING: This is the first condition of
6 approval.

7 DR. DOMANSKI: I will vote yes to that,
8 fine, do the analysis.

9 DR. KONSTAM: I vote yes.

10 DR. COMEROTA: I vote yes.

11 DR. NISSEN: Either we need more data or
12 we don't need more data, and since I would like to
13 see more data, I am not sure whether voting yes is
14 going to let us look at more data before we make a
15 decision, so I am going to abstain. I mean either
16 we need these data in order to make a decision or
17 we don't. I think I need these data in order to
18 make a decision. Lacking those data, I don't think
19 the amendment helps me.

20 DR. AZIZ: Yes for Dr. Aziz.

21 DR. PINA: Yes.

22 DR. OSSORIO: Yes.

23 DR. DeWEESE: Yes.

24 DR. KLOCKE: Yes.

25 DR. EWING: Thank you. I will tabulate

1 that that is 8 yes, 1 no, 1 abstain. The condition
2 carries.

3 DR. LASKEY: Are you happy with the
4 distillation of the conditions?

5 DR. ZUCKERMAN: Yes.

6 DR. LASKEY: Any discussion on Dr.
7 Konstam's recommendation for additional
8 clarification or new indications for use? Do we
9 need to go through that?

10 DR. PINA: No, I think we have discussed
11 that pretty well, and it may merit some other
12 meeting at some point to sit down and really go
13 through, and the Agency can do this, to go through
14 the patient population that, in fact, would be
15 eligible for this.

16 DR. EWING: Okay. We can take a vote
17 although Dr. Domanski has stepped out. We will
18 come back to him.

19 Dr. Wittes.

20 DR. WITTES: Yes.

21 DR. KONSTAM: Yes.

22 DR. COMEROTA: Yes.

23 DR. NISSEN: Yes.

24 DR. AZIZ: Yes.

25 DR. PINA: Yes.

1 DR. OSSORIO: Yes.

2 DR. DeWEESE: Yes.

3 DR. KLOCKE: Yes.

4 DR. EWING: That is almost unanimous for .
5 No. 3, the conditions for clarification of
6 indications of use, clarification of the patient
7 population indicated and excluded.

8 The next was the postmarket study.

9 DR. KONSTAM: No, the next is criteria for
10 use both in terms of the operator and the site be
11 clarified to be certain of high quality in patient
12 selection and in performance.

13 DR. LASKEY: And training and experience.

14 DR. KONSTAM: But it is a little bit more
15 than training. Training and--

16 DR. PINA: Setting standards?

17 DR. KONSTAM: Setting standards for both
18 the operator and the site.

19 DR. LASKEY: So, what you would like to
20 see the Agency receive is a document outlining the
21 criteria for credentialing, if you will.

22 DR. KONSTAM: Right, and the spirit is
23 that it be fairly rigorous.

24 DR. PINA: And could I add that it is not
25 just limited to surgeons, but also to cardiologists

1 taking care of this patient population.

2 DR. KLOCKE: And could I ask that it
3 include the group's best recommendations at that
4 point in terms of infection control in relationship
5 to the issues that I have been talking about?

6 DR. KONSTAM: That is fine with me.

7 DR. AZIZ: Do you want to restrict it to
8 transplant centers?

9 DR. KONSTAM: Well, I held back from
10 saying that. Maybe it is worth some additional
11 discussion on the part of the panel about how far
12 we want to go and what we mean. I mean I would be
13 happy with limiting it to transplant center if
14 other people on the panel would.

15 DR. LASKEY: I think in deference to Mr.
16 Morton who raised the point that we really should
17 not concern ourselves with how this device is used
18 or abused, we just need to go forward in good faith
19 and outline criteria for training and experience of
20 these centers and individuals, realizing it is a
21 system as much as people.

22 DR. KONSTAM: I thought he was saying that
23 it is these very processes of labeling and training
24 that give us a comfort level that we are not
25 "letting the genie out of the bottle" by doing

1 these things.

2 MR. MORTON: Thank you. That is true.
3 Again, from my experience on a device of this type,
4 it is a collaborative process with the Agency of
5 exactly what the training program is going to be
6 and exactly how the release will happen.

7 DR. NISSEN: I am glad you all have faith
8 that nobody ever uses devices off label.

9 DR. ZUCKERMAN: I think the issues from
10 the Agency perspective are, one, two, consider Dr.
11 Konstam's motion that the training program be
12 rigorous and looked at by FDA. The second part is
13 that potentially, as a postmarket requirement, one
14 can look at how new sites are brought up to speed,
15 et cetera, but there are two parts, and Dr. Konstam
16 is first just asking about the review of a training
17 program.

18 DR. KONSTAM: Did you want more
19 specification to that or do you feel like leaving
20 it as clear as we have is sufficient?

21 DR. ZUCKERMAN: Why don't you try
22 restating it, so that then we can have a vote.

23 DR. KONSTAM: I think the spirit of what I
24 would like to convey is that this device be
25 implanted in centers and by individuals who are

1 highly trained and specialized in the management of
2 patients with end-stage heart failure both from the
3 perspective of patient selection and surgical
4 procedure, and perioperative management and
5 long-term management. I guess that is really what
6 I meant now.

7 DR. ZUCKERMAN: Are you asking FDA to
8 review the company's training program as a
9 condition of approval?

10 DR. KONSTAM: Yes.

11 DR. LASKEY: That is fairly
12 straightforward. Do you want to just do a hand
13 vote?

14 DR. EWING: Sure.

15 DR. LASKEY: All in favor of this
16 condition?

17 [Show of hands.]

18 DR. EWING: I believe that is unanimous.
19 Is that correct? Okay.

20 DR. LASKEY: As I recall, the last
21 condition was--

22 DR. KONSTAM: There were two more.

23 DR. LASKEY: Sorry.

24 DR. KONSTAM: Next was the postmarketing,
25 and then the final one was information for

1 patients.

2 DR. LASKEY: Let's take the first. Maybe
3 I misunderstood. The information requested from
4 postmarketing surveillance would be?

5 DR. KONSTAM: Well, we talked about it
6 before, I think developing a sizable body of
7 experience at least out to two years, tracking
8 patient survival, tracking complications, tracking
9 device reliability and durability. There may be
10 others people want to add to that.

11 DR. COMEROTA: Marv, would you be
12 comfortable in extending that to death since a
13 large percentage of those who have lived two years,
14 died shortly thereafter? I would like to see it
15 extended out to death.

16 DR. KONSTAM: Sure, so indefinitely.

17 DR. COMEROTA: Yes.

18 DR. KONSTAM: To death, yes.

19 DR. COMEROTA: Well, death is rather
20 definite.

21 DR. KONSTAM: Definitely.

22 DR. LASKEY: Marv, given the limited
23 capability of the Agency to do these kinds of
24 surveillance, what would you recommend that they
25 focus on, that they require?

1 DR. KONSTAM: You mean in terms of
2 duration of follow-up or in terms of what they are
3 looking at?

4 DR. LASKEY: More what they are looking
5 at.

6 DR. KONSTAM: I think the things that I
7 listed shouldn't be too onerous. I mean patient
8 survival, device survival, and major complications,
9 at least the major complications as were
10 demonstrated in this study.

11 DR. PINA: Implantation rate.

12 DR. KONSTAM: That is device survival.

13 DR. LASKEY: This is all in the form of a
14 registry, or are you recommending the conduct of an
15 additional trial, if you will?

16 DR. KONSTAM: Well, I wasn't recommending
17 a randomized trial.

18 DR. DeWEESE: Can't we just ask for what
19 they have done in the first two years? That is
20 what we want them to do, we want to get the same
21 information we had before.

22 DR. WITTES: We are asking for less, I
23 think, than what they did in the first two years.
24 It seems to me we are talking about a registry.

25 DR. ZUCKERMAN: At this point, Dr.

1 Konstam, perhaps you can help us with what major
2 questions you would like to see answered in a new
3 cohort experience, and then the Agency and sponsor
4 can work together on what type of trial design
5 might be optimal, but if we can first define the
6 questions.

7 DR. KONSTAM: I would like to know the
8 life expectancy after implantation. I would like
9 to know reliability and longevity of the device. I
10 would like to know the frequency of the major
11 complications that were identified in this trial,
12 and I guess I would add to it and ask for some
13 discussion or comments, you know, some indication
14 of patient function, some indication that patients
15 are doing well, and I don't know if people want to
16 discuss what that should look like, but something
17 more than that they are just alive.

18 DR. AZIZ: Also, I think it would be
19 important when a patient dies, that the device be
20 examined by one center specifically, so any valve
21 problems or any motor problems could be documented,
22 because I think just because the patient dies,
23 there may be different reasons, maybe sepsis. I
24 think the devices must be examined and explanted.

25 DR. PINA: I would like to echo the

1 functional capacity assessment because there are a
2 lot of data on functional capacity and bridge to
3 transplant, and that is well published and well
4 known. So, I would like to see how this compares.

5 DR. KONSTAM: I also again would like to
6 see something explored with regard to
7 anticoagulation. I guess I don't want to make that
8 more definitive right now except to say that I
9 would like some sort of study proposed in the next
10 six months, say, to explore--

11 DR. LASKEY: You need a handle on the
12 rates.

13 DR. KONSTAM: My feeling would be that we
14 already have from the data set in front of us,
15 evidence that there is an excessive rate of
16 thromboembolic events, more than anticipated. That
17 is my interpretation of the data. Maybe there will
18 be some differences of opinion on that.

19 DR. COMEROTA: I thought they were less
20 for a totally implantable prosthetic without
21 anticoagulation.

22 DR. KONSTAM: Less than what?

23 DR. COMEROTA: Less than anticipated.

24 DR. DOMANSKI: I have a sort of process
25 problem with that, and the process problem is this.

1 We are approving this. All of the data that have
2 come in here, have come in without the
3 anticoagulation.

4 Now, we are approving the device, and you
5 are trying to mandate a study that basically send
6 them off label to do some anticoagulation. I don't
7 think we can do that. I mean I think it is a good
8 research study to do, but I think somebody ought to
9 apply to NIH. I don't think we should be asking
10 the sponsor to try to do something that is off
11 label.

12 DR. COMEROTA: It is part of the
13 condition, what you are saying, it would be
14 appropriate to document that platelet inhibitors,
15 what anticoagulants the patients are on, and
16 monitor the outcome as a registry.

17 DR. KONSTAM: That's fine. If there is no
18 objection, I would amend it to say that special
19 attention should be placed in the postmarketing
20 survey to examine rates of thromboembolic events
21 with an eye toward considering subsequent
22 investigation based on what events rate is seen.

23 DR. LASKEY: I think that is fine in
24 concept, but there is not going to be a DSMB, there
25 is not going to be adjudication committee. This

1 may be a tough one. I agree with you it is
2 important, but it could be an under- or an
3 over-estimate unless somehow it is ascertained
4 appropriately or accurately.

5 DR. KONSTAM: Can't the FDA--

6 DR. LASKEY: You need to make that
7 recommendation clearly in this condition.

8 DR. ZUCKERMAN: Yes, in the condition of
9 approval, you can also ask that an independent CEC,
10 similar to the original randomized study, continue
11 to look at events to better clarify what are actual
12 rates.

13 DR. KONSTAM: I could live with that.

14 DR. LASKEY: The registry's requirements
15 are getting longer.

16 DR. KONSTAM: That's fine. I don't have
17 any objection. That doesn't bother me.

18 DR. LASKEY: Are we all clear on what we
19 are voting on then for Condition No. 4, which is
20 the recommendation to the Agency in terms of
21 establishing a registry for purposes of
22 postmarketing surveillance to assess rates of--

23 DR. KONSTAM: --survival, device failure,
24 and major adverse events including thromboembolic
25 events.

1 DR. LASKEY: All in favor?

2 [Show of hands.]

3 DR. EWING: So, everyone except for Dr.
4 Wittes?

5 DR. WITTES: I am going to abstain.

6 DR. LASKEY: And your last condition was
7 the instructions for the patient information pack?

8 DR. KONSTAM: Yes, that the patient
9 information package really--

10 DR. LASKEY: Serious buffing up.

11 DR. KONSTAM: Yes, make clear the risks
12 and what the patient is getting into.

13 DR. LASKEY: So, rates of adverse events
14 and certainly perhaps the addition of some help
15 rewriting it. It is highly technical, I will
16 agree.

17 Can we vote on that?

18 DR. AZIZ: The device once it's explanted,
19 should be sent to a center.

20 DR. LASKEY: I like that idea. I don't
21 know how you can mandate-- you mean explanted--

22 DR. AZIZ: When the patients die.

23 DR. LASKEY: Well, when they die, doesn't
24 that require consent or permission? I don't know
25 if you can mandate that.

1 DR. DOMANSKI: I wonder if they are not
2 getting those devices back anyway. Maybe we could
3 ask them that, because that may be an easy one
4 actually. Are you getting them back?

5 MR. MIDDLEBROOK: Yes, we do like to get
6 the devices back, and we do take them apart and
7 disassemble them, and we do an analysis on them.
8 We collect that data and analyze it periodically.

9 DR. LASKEY: Can you require that, though?

10 MR. MIDDLEBROOK: I don't think it can be
11 required because they refuse to return it, and we
12 can't mandate that.

13 DR. AZIZ: That is really the only way, if
14 these devices are going to be in for a long time.

15 DR. LASKEY: I would agree. I can see a
16 family just refusing permission.

17 DR. AZIZ: That is a different issue, but
18 I think all efforts should be made, because that is
19 the only way, if these devices are in for four
20 years or three years, we are going to learn
21 something.

22 DR. LASKEY: I would agree. Should that
23 be in the patient package then?

24 DR. DOMANSKI: I think it is great to
25 inform. I was actually part of a trial where we

1 did that once. I would stop short of informing
2 them about what should go on at their autopsy.
3 That probably is unreasonable.

4 DR. LASKEY: So, voting on the condition
5 for the modification of the patient information
6 package as currently written.

7 DR. KONSTAM: Let me just add to that,
8 that based on what we see right now, there should
9 be some indication of the limited present life
10 expectancy of this device.

11 DR. LASKEY: Full disclosure.

12 All in favor?

13 [Show of hands.]

14 DR. EWING: That is unanimous for the last
15 condition presented so far.

16 DR. LASKEY: That's the end of Dr.
17 Konstam's list.

18 I shudder to ask this question, but are
19 there any other conditions?

20 [Laughter.]

21 DR. LASKEY: No. Well done.

22 DR. EWING: I would like for the panel
23 members to go around now and just state their vote
24 for approvable with conditions. We might as well
25 start with Dr. Wittes again.

1 DR. WITTES: Yes.

2 DR. DOMANSKI: Yes.

3 DR. KONSTAM: Yes.

4 DR. COMEROTA: Yes.

5 DR. NISSEN: Do you want yes or no, or do
6 you want explanations?

7 DR. EWING: I want a yes or no first.

8 DR. NISSEN: No.

9 DR. AZIZ: Yes.

10 DR. PINA: Yes.

11 DR. OSSORIO: No.

12 DR. DeWEESE: Yes.

13 DR. KLOCKE: Yes.

14 DR. EWING: So, that is 8 yes and 2 no.

15 DR. LASKEY: If each panel member could
16 take 60 seconds to defend their position.

17 DR. WITTES: A short essay, right?

18 DR. LASKEY: Well, short.

19 DR. WITTES: To me, the data showed
20 convincingly a sizable benefit, mortality at one
21 year, but the device is risky, and so I believe the
22 patients and their families should be informed of
23 the likely risks and the time course of those
24 risks.

25 DR. DOMANSKI: I think they demonstrated

1 safety and efficacy per the statutes.

2 DR. KONSTAM: I think that survival was
3 extended. I didn't hear any disagreement about
4 that. I think there were sizable numbers of
5 patients who it appears from the data set were
6 doing fairly well with a very, very high percentage
7 of mortality in the control group.

8 I am concerned about the adverse events
9 that were seen. I am concerned about the
10 durability of the device and the fact that there
11 was such low survival at two years, but that was
12 not enough to negate the basic underlying finding
13 of efficacy in terms of survival.

14 DR. COMEROTA: We have a treatment that
15 doubled survival in one year with no device
16 failure. There is an accompanying body of data
17 that shows substantial improvement in the
18 functional status of the patients albeit it that
19 has come under some criticism.

20 There was a parallel increase in quality
21 of life.

22 DR. NISSEN: I think this is a very
23 promising therapy for heart failure, but this
24 device is not ready, and I find it hard to accept a
25 device that during an average duration of survival

1 of 400 days had a 30 percent internal failure rate.

2 The reason I am so concerned about that is
3 that we all know the impact of approving a device,
4 is there is a trickle-down in the device to
5 patients very quickly beyond the group of patients
6 studied in a trial like this, and labeling does not
7 protect patients from that.

8 That trickle-down would be less concerning
9 to me if the device were reliable, but the problem
10 is it is not, and so if you put this device in
11 patients that have a 92 percent risk of dying in
12 two years, it is not too bad a bargain. If you put
13 this device in patients that are a little bit less
14 sick, now what you have done is replaced good
15 medical therapy with not such good surgical
16 therapy.

17 So, I think getting the device reliability
18 up to a higher level of reliability would be
19 essential for this to be a meaningful advance in
20 the clinical treatment of such patients.

21 I think that that can be done, and I think
22 it will be done, and I am concerned that based upon
23 the outcome in 67 patients with a very high device
24 failure rate, I do think we have opened Pandora's
25 Box, and I don't want to be the purveyor of doom

1 and gloom, but I think this will be a decision that
2 many people in the medical community will come to
3 regret.

4 DR. AZIZ: I think that device therapy as
5 an alternative to transplantation is going to be
6 here to stay. I echo some of the comments of my
7 colleague that I think the device should be
8 carefully monitored. I think it does have a fairly
9 high incidence of malfunction or dysfunction.

10 I think at one year, clearly, it works
11 well, but I think it will be very important in the
12 postmarket surveillance to watch that carefully,
13 that this really does not get out of hand.

14 DR. PINA: I share Dr. Nissen's concerns
15 about the device falling into the hands of people
16 who are ill equipped to use them, and who are not
17 going to apply proper medical therapy to the
18 advanced heart failure patient. However, that does
19 not negate the survival number at one year, which
20 was their endpoint, and they have met it, and
21 therefore, I don't think that we can sit here and
22 say no, do not approve it.

23 I do share some of the very concerns that
24 Dr. Nissen has expressed, and I think we have
25 couched this with a lot of conditions, and I am

1 hoping and really hoping beyond hope that the
2 company will take this to heart and apply it only
3 to those populations that need it, and put it in
4 centers where people do know what they are doing,
5 and try to control the trickling-down effect which
6 we have already seen with other devices.

7 DR. OSSORIO: While I was impressed by the
8 survival numbers, I am still not convinced that
9 there is real clinical significance, and until I
10 see more and better data, and I feel very torn
11 about this and knowing that other people had
12 already voted in a way that it would be approved
13 with these conditions, it left me open to express
14 my feeling that this really should have come to us
15 a little bit later where there were more data for
16 us to evaluate.

17 I think the number of conditions that were
18 put on this actually was part of what convinced me
19 that a lot of the other panel members feel strong
20 discomfort about what was before us today. I think
21 we should be voting to approve or not based on what
22 we have seen before us, what we could evaluate.

23 We don't have I think adequate Quality of
24 Life measures to evaluate. We don't have adequate
25 description of what kind of training and labeling

1 there is going to be to evaluate. So, I voted no.

2 The other thing is that part of our
3 assessment of safety has to be an assessment of
4 whether the harms have been reduced as well as they
5 could be, and I think that is where the adverse
6 events data, and so forth, call into some serious
7 questions with respect to the standards that we are
8 supposed to be applying.

9 DR. DeWEESE: I am convinced by the
10 survival information. I feel confident that the
11 final group deciding these things will be sure that
12 these are performed in transplant centers by
13 capable people, and that it will be limited to
14 people who cannot be transplanted.

15 DR. KLOCKE: My question is that the
16 long-term beneficial effect of this device will
17 depend crucially on the degree to which the current
18 incidence of adverse events can be reduced. If it
19 can't be reduced, the data we have will still
20 stand, but my hunch is that after a period, that
21 clinical acceptance will be in fact limited, and if
22 the genie does get out of the bottle, we make go
23 through a period, as we did with transplant, where
24 we have a disappointing experience because the
25 genie out of the bottle.

1 That will be unfortunate, but it will be
2 transitory, but I believe that the key event for
3 this long term is the degree to which we can
4 improve further on the incidence of adverse events.

5 DR. OSSORIO: Can I say one more thing?

6 DR. LASKEY: Yes.

7 DR. OSSORIO: I also just wanted to say
8 that part of the reason I am very torn about this
9 is because in other contexts, in the cancer
10 context, I have dealt a lot with patients who are
11 really at the end of life, and I think it is very
12 important for us not to be making value judgments
13 as to whether or not one year is long enough.

14 If I really believed the data, or it's not
15 that I don't believe the data, if I really had
16 enough data to convince me, then, I would have
17 voted for approvability, but giving patients
18 additional choices, especially additional choices
19 with lots of uncertainty, is also a burden to them.

20 It is not merely a benefit to have a lot
21 of choices. It also adds then responsibilities to
22 their lives, decisions they have to make, which we
23 have data on the fact that these decisions are very
24 stressful for people to make.

25 So, I don't want it to seem as though I am

1 insensitive to the needs and desires of patients,
2 but I think it is not always doing anybody a favor
3 to give them an option where we can't tell them
4 enough about it, and we can't tell them the kinds
5 of things they would like to know to help them make
6 their decision.

7 DR. LASKEY: Mr. Dacey or Mr. Morton, any
8 final thoughts?

9 If not, I would just like to reopen for
10 one last time the public forum. Are there any
11 public comments?

12 [No response.]

13 DR. LASKEY: If not, then, I thank all
14 participants, in particular the presenters, for
15 their participation and for their endurance.

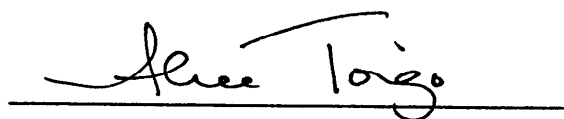
16 I close this portion of our panel meeting.

17 [Whereupon, at 5:50 p.m., the proceedings
18 were recessed, to be resumed on March 5, 2002, at
19 8:00 a.m.]

20

C E R T I F I C A T E

I, ALICE TOIGO, the Official Court Reporter for Miller Reporting Company, Inc., hereby certify that I recorded the foregoing proceedings; that the proceedings have been reduced to typewriting by me, or under my direction and that the foregoing transcript is a correct and accurate record of the proceedings to the best of my knowledge, ability and belief.

A handwritten signature in cursive script, reading "Alice Toigo", is written over a horizontal line.

ALICE TOIGO